This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

THIS PAGE BLANK (USPTO)



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: H04H 9/00

(11) International Publication Number:

(43) International Publication Date:

WO 98/06193

12 February 1998 (12.02.98)

(21) International Application Number:

PC1/GB97/02063

A1

(22) International Filing Date:

31 July 1997 (31.07.97)

(30) Priority Data:

9616176.5

1 August 1996 (01.08.96)

GB

(71)(72) Applicants and Inventors: GREENE, Steven, Bradford [US/GB]; 2A Southerland Avenue, Biggin Hill, Kent TN16 311E (GB). MURPHY, Peter, Edward, Paul [GB/GB]; 260 Avery Hill Road, Eltham, London SE9 2JL (GB).

(74) Agents: ABLETT, Graham, Keith et al.; Ablett & Stebbing, 45 Lancaster Mews, Lancaster Gate, London W2 3QQ (GB). (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

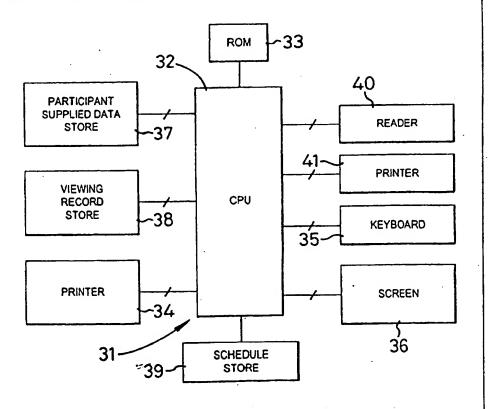
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: IMPROVED SYSTEM FOR COLLECTING DATA CONCERNING TRANSMITTED MATERIAL

(57) Abstract

۲,

The present invention relates to a method of collecting data concerning participant response to broadcast material which comprises a combined audience portion and data portion, each participant being located at separate remote locations and having a data collection unit with a respective unique identity and comprising a store means, a validity checking means and a manually operable device; the method (a) transmitting broadcast comprising: material to the participant locations according to a known schedule, the broadcast material comprising an audience portion and a data portion containing a validity defining portion capable of defining a valid or invalid transmission; (b) storing a data record associated with said broadcast material to the store means of a data collection unit according to a predetermined participant operation of the manually operable device in response to said audience portion of said broadcast material provided that the validity checking means confirms the validity of said data validity defining portion; and (c) cross matching said stored data records with said known schedule to ascertain a participant response to particular broadcast material.



4

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV ´``	Latvia	SZ	Swaziland
ΛZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	LT	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BK	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	15	Iceland	MW	Malawi	US	United States of America
CA.	Canada .	IT	ltaly	MX	Mexico	UZ.	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	Cluna	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	. RO .	Romania		
cz	Czech Republic	LC	Saint Lucia	RU	Russian Federation		•
DE	Germany	ᄔ	Liechtenstein	SD	Sudan -		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

IMPROVED SYSTEM FOR COLLECTING DATA CONCERNING TRANSMITTED MATERIAL

The present invention relates to an improved system for 5 collecting data concerning transmitted material received by a participant, for example, for collecting data concerning viewing of television advertisements and programmes.

published application Applicant's describes one embodiment of a data collection system in which 10 potential participants of the system are sent a questionnaire containing questions relating to socio-geodemographic factors, in a similar form to known market research questionnaires. A participant interested in joining the system returns the questionnaire. The new participant is allocated a unique 15 identity code and the participant data is retrieved from the completed questionnaire and stored in an association with that identity code. The participant, at a remote location, is then sent a data collection unit which is allocated the unique identity code. The data collection unit comprises a processing 20 unit and a hand held unit. Transmitted television material is received via an aerial and coding data in lines 7 to 12 of the so-called blanking interval) incoming signal (the retrieved.

When an advertisement appears on the television, the 25 participant keeps a button of the hand held unit depressed. A signal representative of the depression of the button is sent to the processing unit and, as a result, the coding data currently being retrieved from the television signal by the processing unit together with the unique identity of the data 30 collection unit, and the current time as a start time, are stored to a smartcard.

When the processing unit no longer receives a signal representative that the button is being depressed, the current time, as an end time, is sent to the smartcard. The on board 35 microprocessor in the smartcard sorts the received data and calculates the attention time of the participant to each advert. Then, the data is stored in on the smartcard.

WO 98/06193 PCT/GB97/02063

- 2 -

Thus, after the adverts have finished, a number of advert slots may have been stored according to whether the viewer watched the advert and pressed the button. Each advert slot stores the advert coding, the transmission time coding, the 5 attention time, the unique identity and the stored current time compared with the transmission coding time.

When full, the smartcard is posted to a central facility where stored data is retrieved for cross referencing with the data obtained when the participant initially obtained the data 10 collection unit. Thus, a database of viewing data can be built up.

However, it has been found that the present smartcards become full very quickly so that they must be changed frequently thereby reducing the application of the system.

15 It is therefore an object of the present invention to provide an improved system for collecting data concerning transmitted material received by a participant.

According to one aspect of the present invention there is provided a method of collecting data concerning participant 20 response to broadcast material which comprises a combined audience portion and data portion, each participant being located at separate remote locations and having a data collection unit with a respective unique identity and comprising a store means, a validity checking means and a 25 manually operable device; the method comprising:-

- (a) transmitting broadcast material to the participant locations according to a known schedule, the broadcast material comprising an audience portion and a data portion containing a validity defining portion capable of defining a 30 valid or invalid transmission;
- (b) storing a data record associated with said broadcast material to the store means of a data collection unit according to a predetermined participant operation of the manually operable device in response to said audience portion 35 of said broadcast material provided that the validity checking means confirms the validity of said data validity defining portion; and

(c) cross matching said stored data records with said known schedule to ascertain a participant response to particular broadcast material.

Accordingly, it is possible to ensure that data records 5 are stored only when it is confirmed that the data validity defining portion is valid thereby avoiding fraudulent storage of data records. Furthermore, by cross matching the stored data with the known schedule, it is not necessary to store data from the validity defining portion itself. All that is 10 required is cross matched in time and date stamping of the data record and the transmission schedule. This enables a significant reduction in storage capacity for the data records thereby prolonging the lifetime of each store means before it is full.

In one embodiment, the method has step (a) further comprising encrypting said data portion according to an encryption different from an encoding of said broadcast material.

As a result, data records will only be stored when the 20 data validity defining portion is correctly decrypted. This enhances the security of the method of collecting.

It is preferred that step (b) further comprises the step of checking for an identity code in the data portion of the broadcast material; wherein a data record can be stored when 25 a new identity code is received.

In this way, each data record can be stored when a new identity code is present, the identity code comprising a part of the known schedule of broadcast material.

In a particular embodiment, the method has step (b) 30 further comprising measuring the time of said predetermined participant operation and storing a representation of said time in said data record, said participant response comprising said representation.

As a result, the data record can easily and simply show 35 the amount of time the participant continues with the response.

Conveniently, the method further comprises the steps of

WO 98/06193 PCT/GB97/02063

storing said unique identity to said store means at said remote location; and cross matching said stored data with said unique identity.

In this way, the unique identity can be written at the 5 remote location enabling empty store means without identities to be used by the participant.

Preferably, the method further comprises the step of storing data relating to system participants according to said unique identity.

10 Consequently, cross matching of information about the participants with data records is simplified.

According to another aspect of the present invention there is provided a data collection system for collecting data concerning participant response to broadcast material 15 comprising a combined audience portion and a data portion containing a validity defining portion capable of defining a valid or invalid transmission, the broadcast material being transmitted according to a known schedule, the system comprising:-

- a plurality of data collection units provided, in use, at separate remote participant locations, wherein each said data collection unit has a respective unique identity and comprises:-
- a validation means connected to receive and check the 25 validity of said validity defining portion;
 - a store means;
 - a manually operable device; and
- a control means operating such that a predetermined participant operation of said manually operable device in 30 response to an audience portion of said broadcast material causes a data record associated with said broadcast material to be stored in the store means provided that said validation means confirms the validity of said validity defining portion;

and wherein the system further comprises:-

35 a central controller comprising:-

means for storing data relating to system participants according to said unique identity;

- 5 -

means for reading the unique identity and said data records stored on a respective data collection unit store means; and

means for cross matching said data records with said 5 known schedule for ascertaining a participant response to particular broadcast material.

Accordingly, it is possible to ensure that data records are stored only when it is confirmed that the data validity defining portion is valid thereby avoiding fraudulent storage 10 of data records. Furthermore, by cross matching the stored data with the known schedule, it is not necessary to store data from the validity defining portion itself. All that is required is coordination in time and date stamping of the data record and the transmission schedule. This enables a 15 significant reduction in storage capacity for the data records thereby prolonging the lifetime of each store means before it is full.

Preferably, said data portion is encrypted according to an encryption different from an encoding of said broadcast 20 material and wherein said validation means includes decrypting means for decrypting said data portion containing validity defining portion.

As a result, data records will only be stored when the data validity defining portion is correctly decrypted. This 25 enhances the security of the method of collecting.

Conveniently, said data portion includes an identify code and wherein said control means operates such that each data record is associated with a respective identity code.

In this way, each data record can be stored when a new 30 identity code is present, the identity code comprising a part of the known schedule of broadcast material.

In one case, said control means periodically checks the identity code.

As a result, the system starts a new data record when a 35 new identity code is present.

Conveniently. said control means includes means for measuring the time of said predetermined participant operation

and a data record includes a representation of said time as a participant response.

As a result, the data record can easily and simply show the amount of time the participant continues with the 5 response.

Preferably, the means for measuring time operates only during continual predetermined participant operation of said manually operable device.

Consequently, the participant must take an active rather 10 than passive role in providing a response.

In one embodiment, said control means includes means for storing said unique identity and wherein said unique identity is stored to said store means by the control means.

In this way, the unique identity can be written at the 15 remote location enabling empty store means without identities to be used by the participant.

It is preferred that said validation means periodically checks the validity of said data validity defining portion.

Thus, when an invalid validity defining portion is found, 20 storage of data records no longer takes place.

Conveniently, said validation means includes means to extract a real time and date from said data portion and wherein a data record comprises at least said real time and date.

25 By using the real time and date from the data portion, coordination in time and date stamping of the data record and the transmission schedule is simplified.

An example of the present invention will now be described, with reference to the accompanying drawings, in 30 which:-

Figure 1 illustrates a central controller embodying the present invention;

Figure 2 is a schematic overview of the system of the present invention;

Figure 3 illustrates a data collection unit embodying the present invention at a participant location; and

Figure 4 illustrates a flow diagram for the operation of

a receiver unit of the data collection unit shown in figure 3.

One embodiment of the present invention will now be described with reference to the drawings for a viewing based 5 system although it will be apparent that an audio based broadcast system could be employed.

To summarise the system of this embodiment, it is the intention to provide a system whereby participants (viewers) at a remote location are encouraged to view broadcast adverts 10 by enabling them to collect points for viewing those adverts, the points being exchangeable for coupons, vouchers, prizes, goods etc.

Moreover, the participants are to be encouraged to respond to direct response propositions (DRP's). The viewing 15 of the adverts and the response to DRP's are stored as viewing records on smartcards which are then sent to a central controller. The viewing records are cross referenced with advert and program schedules provided by the broadcaster and with participant supplied demographic data in order to provide 20 details on viewing habits and on viewers responses to DRP's.

Referring to figure 1, a central controller 31 comprises a main central processing unit (CPU) 32 connected to a read only memory 33 storing control programs for the controller. The CPU 32 is also connected to conventional peripherals in 25 the form of a printer 34, a keyboard 35 and a screen 36. The CPU 32 is also connected to a participant supplied data store 37, a viewing record store 38, a schedule store 39, and a smartcard reader 40. A dedicated printer 41 may also be connected for printing vouchers or coupons. The functions of 30 this printer 41 could be amalgamated with the printer 34.

The various memory or store components are illustrated separately for the purpose of clarity, but may of course be partitioned sections of a single memory unit. Moreover, as a person skilled in the art will appreciate, the aforementioned 35 components, with the exception of the smartcard reader 40, are standard components associated with computers and the detailed inter-connection, function and running of these components are

readily apparent. All components are connected by appropriate buses.

Referring to figure 2, material for broadcast, such as television adverts and programs, are processed by a processing 5 unit 51. In this unit, a commercial identity number (CIN number) is inserted into the material.

In the present example, the CIN number comprises 23 character code. For adverts, the CIN number of the present embodiment represents a) the agency responsible for the 10 advert, b) the client, c) the advert identity number, d) the region where the advert is to be transmitted, e) the duration of the advert, and f) a status flag as the 21st character representing one of L (left), R (right), B (both), F (flashing). As long as the 21st flag character is one of L, 15 R, B or F the CIN number is considered "valid/genuine" otherwise it is considered "invalid/non-genuine". The following characters identify the particular DRP.

Telephone Numbers: TN

Addresses: CA (client address)

20 Vote Casting: VC

Programme Scoring: PS

Advert Scoring: AS

Purchases Made: PM

Sample/Voucher Request: SV

Text Request: OT (other text)

The purpose of this will become apparent hereinafter.

The adverts are broadcast so that they are accompanied by the transmission of a genuine CIN number together with 30 additional information, for example, telephone numbers, addresses etc. and other DRP's tacked on. This transmission is every 5 seconds in the present embodiment and the CIN number and additional information is encrypted before transmission. For security purposes, ordinary programs also 35 have a CIN number transmitted therewith, but of a non-genuine nature.

It will be apparent to a person skilled in the art that

WO 98/06193

other methods of labelling or ascertaining that a CIN number is genuine or non-genuine can be employed. Furthermore, the size of the CIN number can be changed and its format further altered. The significance of the CIN number is that it 5 provides an encrypted key which enables points and responses to DRP's to be stored by the participant provided the key, after decryption, is the correct one, i.e., it is genuine.

The 22nd or 23rd characters will be allocated according to the nature of the DRP's transmitted. It will be apparent 10 that the manner of encryption and decryption can be changed, either in a dynamic or static manner.

The CIN number is located within the television signal as follows, although this is only by way of example based on the transmission system in the United Kingdom.

- As is known in the art, a television transmission frame 15 comprises a number of lines all coordinated by synchronization pulses. In the United Kingdom, the lines used for picture data are interlaced to give a total of 625 scanning lines. An additional period equivalent to 25 lines is also provided, 20 known as the vertical blanking period, which allows the
- receiver to flyback to the top of the screen for the next frame. The CIN number and any additional information are particular algorithm and encoded according to a transmitted within these 25 lines, preferably within lines 7
- 25 to 12. The location of these six lines within a received television frame is precise and hence the CIN number and other information encoded therein can be precisely extracted. It should be noted that the real time date and time, and the transmission region can be extracted from packet 8/30 within 30 these lines.

Thus, referring to figure 2 again, the CIN number and any additional information is broadcast from a broadcast location 52 along route 53 to a plurality of participant locations 54_1 to 54. The route 53 can, for example only, take the form of 35 broadcasts from a transmitter, a satellite or via cable.

Figure 3 illustrates the arrangement at the participant location. Each system participant has a data collection unit comprising a receiver unit 1 and a handset 17.

The receiver unit 1 has an input 2 connected to the socalled scart socket of a television 3. The incoming television
signals at input 2 are relayed to a decoding and extracting
5 circuit 4. This circuit extracts the data within the above
mentioned lines and decodes data in the broadcast which has
been encrypted according to a predetermined algorithm,
preferably of the rolling key decryption type. As a result,
the CIN number is decoded therefrom along with any additional
10 information.

A central processing unit (CPU) 5 inputs the currently received CIN number along a line 6 connected to the decoding and extracting circuit 4 and relays this number to a validation unit 7 on a line 8. The validation unit 7 checks 15 whether the currently received CIN number is genuine or not, that is to say whether it is an authentic/valid number or not. If the validation unit 7 detects a genuine CIN number, then a signal on a line 9 connected to the CPU 5 is set high.

The CPU 5 is also connected to a CIN number memory 10, 20 a temporary memory 11, a counter 12, a 2X20 backlit display 13, and a smart card connector 14 for connection to a smart card (not shown) loaded into a bay 15. The CPU 5 is also connected by a line 60 to the output of a light receiving unit 16 in communication with the handset 17, yellow (left) and red 25 (right) LED's 18 and 19, and a segmented memory 28.

The handset 17 comprises a light emitting unit 21 and six buttons. The light emitting unit 21 communicates with the light receiving unit 16 of the receiver unit 1 using a known type of infrared communication link. One of the buttons is an 30 information retrieval button 22 which is coloured mauve. When this button is depressed, the light emitting unit 21 sends a code to the light receiving unit 16 and the output of the latter on line 60 is detected by the CPU 5 so that the segmented memory 28 is accessed and a portion of the contents 35 thereof are displayed on the display 13. As a result, a particular heading of the segmented memory is accessed. In the present case, there are 7 areas of segmented memory as

WO 98/06193

- 11 -

follows: -

350 characters Telephone number 550 characters Addresses 125 characters 5 Scoring 125 characters Voting 250 characters Purchases Samples/Voucher 100 characters 100 characters Game points/Prizes 500 characters 10 Text

Each segmented part of the memory 18 is limited as above so that new information overwrites old information. In order to scroll through the selected heading, blue coloured UP/DOWN 15 buttons 23 can be depressed to find the required entry. When the buttons are depressed, the light emitting unit 21 sends a code to the light receiving unit 16 and the output of the latter on line 60 is detected by the CPU 5 so that the contents of the particular heading of the segmented memory 28 20 is scrolled and the appropriate portion displayed on display 13.

has direct response green The handset also participation button 24 together with a yellow left LED lit collect points button 25 and a red right LED lit collect 25 points button 26. The latter two buttons in combination decide how and when points are to be collected.

There now follows an explanation of the running of the system in conjunction with figure 4 which illustrates a flow diagram of the operation of the receiver unit 1.

When a participant wishes to join the system, a detailed household information and lifestyle survey is completed by the participant (a household and individual members) in a similar manner to published application W095/35606. The results thereof are entered via keyboard 35 into the participant 35 supplied data store 37, cross referenced to a unique identify code. Then, a data collection unit comprising the receiver unit 1 and handset 17 is sent to the participant along with

a blank smartcard. The receiver unit 1 has the unique identity code preloaded in the CPU memory.

When the participant receives the data collection unit, they connect the input 2 to the scart socket of the television 53, plug in power, install the blank smartcard in the bay 15, and switch on the television 3.

Referring to figure 4, initially, in step S1, when power is applied to the receiver unit, an initiate values program is called. This involves various flags being initialised 10 before the routine proceeds to step S2. In addition, the CPU 5 checks whether the unique identity code has been written to the blank smartcard and if not, the identity code is written to a predetermined location of the smartcard. In this way, each smartcard that is sent back to the central controller 15 contains the identity code at a known location of the smartcard enabling simpler processing of the viewing records thereon. In addition, it enables blank smartcards to be sent out to participants rather than having to sort pre-labelled smartcards.

In step S2, the CPU checks whether the currently received CIN number appearing on line 6 corresponds with the CIN number presently stored in the CIN number memory 10. Initially, the stored CIN number is likely to be different to the currently received CIN number, so control proceeds to step S3. In this 25 step, a flag F_MEM is checked to see if it has a value 1. If the flag is equal to 1, then there is data in the form of a viewing record residing in the temporary memory 11 that must be written to the smartcard and this occurs in step S4 before the memory 11 is cleared. If flag F_MEM is zero indicating 30 either that there is no data in temporary memory 11 or that the data is to be retained, then step S4 is skipped.

Thereafter, control proceeds to step S5 where a flag F_CIN and F_STA are both set to a value 1. The flag F_CIN represents that a new CIN number has been received and flag 35 F_STA indicates that this is the first detection of that CIN number. Then, control proceeds to step S6. If in step S2 the CPU 5 finds that the currently received CIN number appearing

on line 6 corresponds with the CIN number presently stored in the CIN number memory 10, then control proceeds straight to step S6.

In step S6, the CPU 5 checks whether a flag F_GEN has a 5 value of 1, that is to say, is the status of line 9 high. As mentioned above, line 9 becomes high when the validation unit 7 ascertains that the currently received CIN number is a genuine CIN number. If flag F_GEN is zero, then control returns to step S2. Otherwise, control proceeds to step S7 10 where the currently received CIN number overwrites the CIN number presently stored in CIN number memory 10.

Thereafter, in step S8, the CPU 5 checks whether a flag F_PCB has a value of one, that is to say, whether the light receiving unit 16 is outputting an appropriate signal on line 15 60 indicating that points should be collected. If the flag F_PCB has a value of zero, control returns to step S2. Otherwise, control proceeds to step S9 where it is checked whether the flag F_CIN has a value of 1 representing a new CIN number which has now been determined to be a genuine CIN 20 number.

Initially, it is likely that a new CIN number will be received and accordingly control proceeds to step S10. At this point, the CPU 5 writes to temporary memory 11 the region code together with the real time date and time extracted from 25 packet 8/30 as mentioned above. It should be appreciated that this information or data is not obtained from the CIN number itself.

Thereafter, control proceeds to steps S11 where flag F_MEM is set to 1 to indicate that there is data in the 30 temporary memory 11 to be written to the smartcard (at step S4) and flag F_CIN is set to zero since the CIN number is now no longer considered a new number. Control then proceeds to step S12. If in step S9 the CPU 5 finds that the flag F_CIN is zero, then control proceeds straight to step S12.

In step S12, the CPU 5 checks whether the flag F_STA has a value of one, that is to say, is this the first detection of the current CIN number. If it is the first time, then the

flag F_STA has a value of 1 from step S5 and control proceeds to step S13 where counter 12 is started. The content of this counter is normally incremented by 1 for every one second that passes. Thereafter, the flag F_STA is cleared (set to zero) 5 in step S14 and control proceeds to step S15. If in step S12 the CPU finds that the flag F_STA is already zero, then control proceeds straight to step S15.

In step S15, the CPU 5 checks whether the count in counter 12 is greater than or equal to a value of 5. If the 10 count is greater than or equal to a value of 5, then control proceeds to step S16 where 5 points are added to the points slot of temporary memory 11. Then, the count in counter 12 is decremented by 5 in step S17 and control returns to step S2.

If the count has not yet reached 5 in step S15, then 15 control proceeds to step S18 where it is checked that the flag F_PCB still has a value of 1. If the flag F_PCB is still 1, then control returns to step S15 to check the contents of the counter 12 again. However, if the flag F_PCB is now zero, a pause of 3 seconds is provided by step S19 before it is again 20 checked whether the flag F_PCB has a value of 1 in step S20. If the flag F_PCB is 1 again, then control returns to step S15 to repeat the check of the contents of the counter 12. Otherwise, the counter 12 is stopped and cleared in step S21 before control returns to step S2.

As a result, when a participant depresses one or both of buttons, 25 and 26, the flag F_PCB is set to 1 via the light receiving unit 16. Accordingly, as soon as the CPU 5 determines that a new genuine CIN number has been received, via line 6, the region together with the real time date and 30 time are written into the temporary memory 11. In addition, for every 5 seconds that one or both buttons 25 and 26 remain depressed, 5 points are added to the points slot of the memory 11. If the participant releases one or both of buttons 25 and 26 for longer than 3 seconds (step S19), then the counter 12 35 stops counting and points are no longer added to the memory 12. The gap of 3 seconds is chosen to give the participant time to depress button 24 to respond to a DRP. When the

currently received CIN number changes and becomes a new genuine CIN number, the contents of the memory 11 are written to the smartcard as a viewing record and the memory is then cleared. Thus, each time the CIN number changes and remains 5 a genuine CIN number, the contents of memory 11 are written to the smartcard as a viewing record.

The smartcard does not store the CIN number or the unique identity code when the flag F_PCB has a value of 1 representing depression of one or both of buttons 25 and 26. 10 Instead, all that is written to the smartcard as a viewing record is the region in which the advert was received, the start time and date of viewing of the received advert together with the total number of points allocated to the viewing of that advert. This represents just 3 bytes of information. It 15 will be appreciated that the points represent 1 second intervals of viewing. Thus, provided one or both buttons 25 and 26 are depressed at least once during an advert containing a genuine CIN number, then points will begin to be counted.

The present operation arranges for the CPU 5 to active 20 the LED's 18 and/or 19 according to the value of the status flag. If one or both LED,s 18 and 19 are lit, the CPU will only consider that F_PCB has a value of 1 if the appropriate buttons 25 and 26 are pressed. For example, if the yellow LED 18 is lit, then the yellow button 25 must be depressed. The 25 occurrence of flashing LED's 18 and 19 can be employed to alert the participant to say a double points collection opportunity. In this case, the count rate for counter 12 is doubled. It will be appreciated that a less sophisticated arrangement can be used using just a single button to collect 30 points.

At any time, the participant can store the aforementioned additional information accompanying the CIN number by depressing the green button 24. With the depression of the button 24, the additional information such as telephone 35 numbers, addresses etc, are stored under the correct heading in the segmented memory. The correct heading is selected according to the 22nd and 23rd characters of the CIN number.

WO 98/06193 PCT/GB97/02063

- 16 -

Alternatively, the use of button 24 may be considered a response to a DRP. In this case, the use of the button 24 is stored as a further byte of information in the current viewing record stored in the temporary memory 11. 5 information identifies the DRP according to the 22nd and 23rd characters of the CIN number. For example, with a particular CIN number, the participant can be asked to respond YES. This is stored in the viewing record. Then, the CIN number is changed. As a result, the current viewing record in memory 11 10 is stored to the smartcard. Then, the participant can be asked to respond NO. Since this viewing record is different, by suitable correlation of the time and date in the viewing record, it is possible to extract responses to DRP's. The use of the button 24 is considered to render the flag F_PCB to 15 have a value of 1. In a similar manner it is possible to tell when the participant stores a telephone number etc. response to DRP's.

The CPU 5 is programmed so that after 2 weeks it will display on display 13 a message requesting the participant to 20 return the smartcard and insert a spare blank smartcard. Thus, data processing can be achieved on a regular basis.

With the present invention, it is possible to obtain large amounts of useful data concerning participants viewing habits and their responses without using large amounts of 25 memory on the smartcard by having the CIN number act as a key to unlock the receiver unit to enable the participant to use the data collection unit to collect points. This enables smartcards to be used which have a useful lifetime before they become full, at least 2 weeks thereby overcoming the above 30 mentioned problems of the prior art. In essence, a key is transmitted with programs or adverts which the receiver unit can check is genuine before allowing any points collection. The key is transmitted in an encrypted form thereby making it difficult to forge. The display 13 is normally arranged to 35 display the total number of points stored on the smartcard.

When the smartcards are returned by post to the central controller 31, they are inserted into the reader 40 where the

WO 98/06193 PCT/GB97/02063

data of the stored viewing records are read under the control of the CPU 32. The processed data is stored in the viewing record store 38 according to identity code. According to the total points count on a smartcard, the central controller 32 allocates a value amount to the unique identity code on the smartcard and can automatically print an appropriate letter and, for example, a voucher from the printer 41 or a regular statement of points.

At the same time, the broadcasters provide time schedules 10 of all adverts and programs transmitted each day. This information is processed and stored in the schedule store 39. The viewing records stored in the viewing record store can now be cross referenced with the schedule data in the schedule store 39. In this way, it is possible to ascertain which 15 programs or adverts were viewed for each viewing record and to ascertain any responses to DRP's.

In addition, by entering suitable search parameters, the central controller 32 can access the store 37 as well as stores 38 and 39 to extract information such as the number of 20 participants who watched particular adverts on a specific date; the number of participants who provided a particular response to a DRP; analysis according to selected sociogeodemographic factors of the participant data, etc.

With such information, it is possible to provide 25 advertisers, broadcasters and their agencies with valuable information allowing them to assess the impact of adverts in raw terms of say overall numbers or to assess the impact of adverts in more refined terms of, say, socio-geodemographic grouping or to assess the responses to DRP's.

It will be appreciated that because the viewing record uses the real time from packet 8/30, video copies of adverts will not work with the present system thereby avoiding abuse of the system.

It will be appreciated that the above embodiment of the 35 present invention is capable of considerable modification. For example, whilst the reader 40 reads received smartcards, the reader 40 could read the data collection units at the

participant location by using, say, modem connection or the like. Furthermore, the data collection unit need not be a stand alone unit, but can comprise part of the television unit or some other unit. Similarly, the handset can be 5 incorporated with existing handsets.

It will also be appreciated that the term "transmitted material" can take the form of at least television transmission and radio transmission, and the material can be transmitted via broadcast networks such as satellite 10 transmissions, local antennas or via cable, telephone lines etc.

CLAIMS

- 1. A method of collecting data concerning participant response to broadcast material which comprises a combined 5 audience portion and data portion, each participant being located at separate remote locations and having a data collection unit with a respective unique identity and comprising a store means, a validity checking means and a manually operable device; the method comprising:-
- 10 (a) transmitting broadcast material to the participant locations according to a known schedule, the broadcast material comprising an audience portion and a data portion containing a validity defining portion capable of defining a valid or invalid transmission;
- 15 (b) storing a data record associated with said broadcast material to the store means of a data collection unit according to a predetermined participant operation of the manually operable device in response to said audience portion of said broadcast material provided that the validity checking 20 means confirms the validity of said data validity defining portion; and
 - (c) cross matching said stored data records with said known schedule to ascertain a participant response to particular broadcast material.
- 2. A method according to claim 1 wherein step (a) further comprises encrypting said data portion according to an encryption different from an encoding of said broadcast material.
- 3. A method according to claim 1 or 2 wherein step (b) 30 further comprises the step of checking for an identity code in the data portion of the broadcast material; wherein a data record can be stored when a new identity code is received.
- 4. A method according to any preceding claim wherein step (b) further comprises measuring the time of said 35 predetermined participant operation and storing a representation of said time in said data record, said participant response comprising said representation.

5. A method according to any preceding claim further comprising the steps of storing said unique identity to said store means at said remote location; and cross matching said stored data with said unique identity.

- 20 -

- 6. A method according to any preceding claim further comprising the step of storing data relating to system participants according to said unique identity.
- 7. A data collection system for collecting data concerning participant response to broadcast material 10 comprising a combined audience portion and a data portion containing a validity defining portion capable of defining a valid or invalid transmission, the broadcast material being transmitted according to a known schedule, the system comprising:-
- a plurality of data collection units provided, in use, at separate remote participant locations, wherein each said data collection unit has a respective unique identity and comprises:-
- a validation means connected to receive and check the 20 validity of said validity defining portion;
 - a store means;
 - a manually operable device; and
- a control means operating such that a predetermined participant operation of said manually operable device in 25 response to an audience portion of said broadcast material causes a data record associated with said broadcast material to be stored in the store means provided that said validation means confirms the validity of said validity defining portion;

and wherein the system further comprises:-

30 a central controller comprising:-

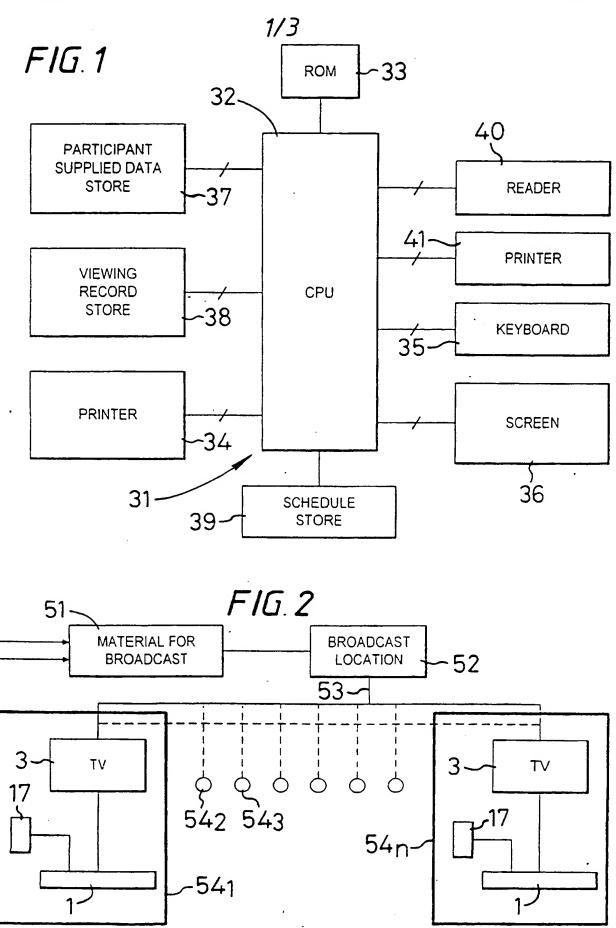
means for storing data relating to system participants according to said unique identity;

means for reading the unique identity and said data records stored on a respective data collection unit store 35 means; and

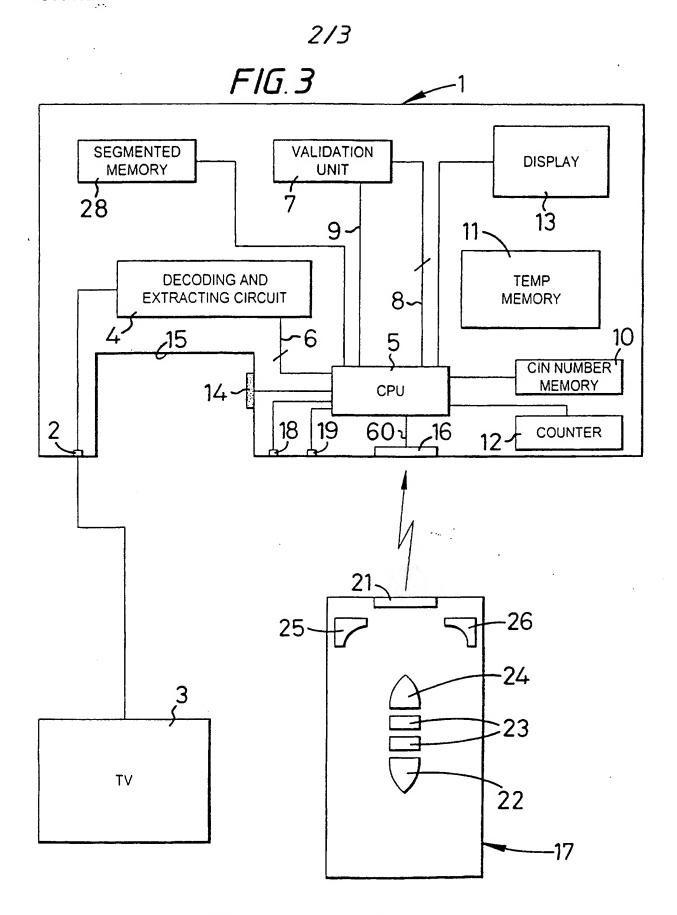
means for cross matching said data records with said known schedule for ascertaining a participant response to

particular broadcast material.

- 8. A system according to claim 7 wherein said data portion is encrypted according to an encryption different from an encoding of said broadcast material and wherein said 5 validation means includes decrypting means for decrypting said data portion containing said validity defining portion.
- 9. A system according to claim 7 or 8 wherein said data portion includes an identify code and wherein said control means operates such that each data record is associated with 10 a respective identity code.
 - 10. A system according to claim 9 wherein said control means periodically checks the identity code.
- 11. A system according to any one of claims 7 to 10 wherein said control means includes means for measuring the 15 time of said predetermined participant operation and a data record includes a representation of said time as a participant response.
- 12. A system according to claim 11 wherein the means for measuring time operates only during continual predetermined 20 participant operation of said manually operable device.
 - 13. A system according to any one of claims 7 to 12 wherein said control means includes means for storing said unique identity and wherein said unique identity is stored to said store means by the control means.
- 25 14. A system according to any one of claims 7 to 13 wherein said validation means periodically checks the validity of said data validity defining portion.
- 15. A system according to any one of claims 7 to 14 wherein said validation means includes means to extract a real 30 time and date from said data portion and wherein a data record comprises at least said real time and date.

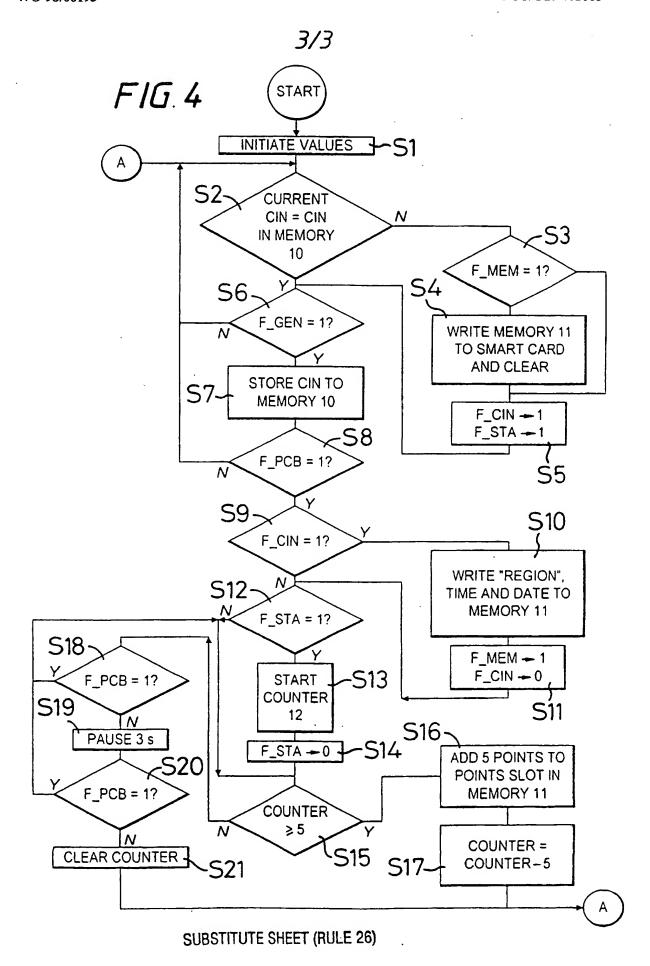


SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

PCT/GB97/02063



INTERNATIONAL SEARCH REPORT

International Application No PCT/GB 97/02063

		· · · · · · · · · · · · · · · · · · ·	
A. CLASS	IFICATION OF SUBJECT MATTER H04H9/00		
	la lata — sur — si Consad Consad instance (IDO)		
	o International Patent Classification(IPC) or to both national dassifi	cation and IPC	
	SEARCHED ocumentation searched (classification system tollowed by classification)	tion symbols)	
IPC 6	НО4Н	ion symbols,	
Documenta	ition searched other than minimum documentation to the extent that	such documents are included in the fields se	arcned
Electronic o	data base consulted during the international search (name of data b	ase and, where practical, search terms used))
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Calegory ·	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No
х	WO 95 35606 A (GREENE.MURPHY) 28 1995 cited in the application see page 1, line 4-17	December	1-15
	see page 1, line 37 - page 6. li see page 6, line 32 - page 18, l	ne 10 ine 18	
Α .	WO 95 16313 A (KEW.LOVE) 15 June see page 1, line 3-5 see page 1, line 35 - page 10, l		1-15
А	EP 0 425 347 A (SOCIETE AUDEBERT VENTURE) 2 May 1991 see column 1, line 1-5 see column 1, line 31 - column 6 see column 7, line 16 - column 1	. line 44	1-15
			·
	her documents are listed in the continuation of box C.	X Patent family members are fisted in	n annex.
"A" docume consid "E" earlier o	ent defining the general state of the art which is not lered to be of particular relevance document but published on or after the international late on the which may throw doubts on phority claim(s) or	"T" later document published after the inter or priority date and not in conflict with cited to understand the principle or the invention "X" document of particular relevance; the c cannot be considered novel or cannot	the application but sory underlying the laimed invention be considered to
wnich ctation "O" docume other r	is cited to establish the publication date of another in or other special reason (as specified) and referring to an oral disclosure, use, exhibition or	involve an inventive step when the do "Y" document of particular relevance; the cannot be considered to involve an in- document is combined with one or mo ments, such combination being obvious in the art.	laimed invention ventive step when the ire other such docu—
later tr	nan the priority date claimed actual completion of theinternational search	"&" document member of the same patent	
	December 1997	Date of mailing of the international seal	rch report
Name and m	nailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk	Authorized officer	
	Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Zanti, P	

INTERNATIONAL SEARCH REPORT

information on patent family members

PCT/GB 97/02063

Patent document cited in search report	Publication date	Patent tamily member(s)	Publication date
WO 9535606 A	28-12-95	AU 2746495 A EP 0781476 A ZA 9505130 A	15-01-96 02-07-97 31-01-96
WO 9516313 A	15-06-95	AU 1195395 A	27-06-95
EP 425347 A	02-05-91	FR 2653578 A AT 120291 T AU 636410 B AU 6644590 A CA 2071901 A DE 69018007 D DE 69018007 T ES 2072999 T WO 9106914 A HK 27597 A JP 5501463 T MX 172252 B US 5297205 A	26-04-91 15-04-95 29-04-93 31-05-91 25-04-91 27-04-95 26-10-95 01-08-95 16-05-91 06-03-97 18-03-93 09-12-93 22-03-94